



ANITA ASWAL

COMPANY SECRETARY

H.No. 308 A, Part-2,

Pratap Vihar.Kirari

Delhi-110086

M. No:-+ 91- 9990179102

email: aswal1207@gmail.com

RECONCILIATION OF SHARE CAPITAL AUDIT

| | | | | | |
|----------------------|---|--|--|--|---|
| 1. | For Quarter Ended | 30 th Sep, 2016 | | | |
| 2. | ISIN | INE947R01018 | | | |
| 3. | Face Value of Equity Shares | Rs.10/- per share | | | |
| 4. | Name of the Company | Associated Finman Limited | | | |
| 5. | Registered Office Address | 59/17, Ground Floor, Bahubali Apartments, New Rohtak, Road, Delhi 110005 | | | |
| 6. | Correspondence Address | 59/17, Ground Floor, Bahubali Apartments, New Rohtak, Road, Delhi 110005 | | | |
| 7. | Telephone No. Fax No. | 011-32963621 | | | |
| 8. | E-mail Address | dhiraj_smcbroker@rediffmail.com | | | |
| 9. | Name(s) of the Stock Exchanges where the Company's securities are listed | Metropolitan Stock Exchange of India Ltd | | | |
| | | Number of Shares | % of Total Issued Capital | | |
| 10. | Issued Capital | 9,05,328 | 100% | | |
| 11. | Listed Capital Metropolitan Stock Exchange of India Ltd. | 9,05,328 | 100% | | |
| 12. | Held in dematerialized form in CDSL | 20,000 | 2.20 | | |
| 13. | Held in dematerialized form in NSDL | NIL | NIL | | |
| 14. | Physical | 8,85,328 | 97.80 | | |
| 15. | Total No. of Shares(13+14+15) | 9,05,328 | 100% | | |
| 16. | Reason for difference, if any, between (10 & 11) | N.A. | | | |
| | Reason for difference, if any, between (10 & 15) | N.A. | | | |
| | Reason for difference, if any, between (11 & 15) | N.A. | | | |
| 17. | Certifying the details of changes in share capital during the quarter under consideration as per table below: | | | | |
| Particulars # | No. of Shares | Applied/ Not Applied for listing | Listed on Stock Exchanges (Specify Names) | Whether intimated to NSDL/ CDSL | In-principal approval pending for SE (Specify Names) |
| N.A. | | | | | |



| # Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buy-back, Capital Reduction, Forfeiture, Any other (to specify) | | | |
|---|--|---|----------------------|
| 18. | Register of Members is updated (Yes/No) If not, updated up to which date | Yes | |
| 19. | Reference of previous quarter with regards to excess dematerialized share, if any | N.A. | |
| 20. | Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why? | N.A. | |
| 21. | Mention the total no. of requests, if any, confirmed/rejected after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay: | | |
| Total No. of demat requests | | No. of requests | No. of Shares |
| Confirmed/Rejected after 21 days | | Nil | Nil |
| Pending for more than 21 days | | Nil | Nil |
| 22. | Name, Telephone & Fax No. of the Compliance Officer of the Company | Mr. Dhiraj Gupta 011-32963621 | |
| 23. | Name, Address, Telephone & Fax No. of the Secretarial Auditor | Ms. Anita Aswal (Practicing Company Secretary) H.No. 308A, Part-2, Pratap Vihar, Kirari, Suleman Nagar, Nithari North West Delhi, Sultanpuri, C-Block, Delhi 110085 Ph No.: +91 9990179102 Email: aswal1207@gmail.com | |
| 24. | Appointment of common agency for share registry work, if yes, name & address | Skyline Financial Services Private Limited D-153A, 1st Floor, Okhla Industrial Area Phase - I, New Delhi - 110 020 | |
| 25. | Any other detail that the Secretarial Auditor may like to provide (e.g. BIFR company, delisting from SE, Company changed its name, etc.) | N.A. | |



Anita Aswal
Company Secretary
C.P. No.: 13883

Date: 17-10-2016
Place: New Delhi