

Format of holding of specified securities

1.	Name of Listed Entity:	ASSOCIATED FINMAN LIMITED
2.	Scrip Code/Name of Scrip/Class of Security	ASSOFIN; Equity Share
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending	30-Jun-17
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
	Particulars	
	1. Whether the Listed Entity has issued any partly paid up shares?	Yes* No*
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	N.A. No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	N.A. No
	4. Whether the Listed Entity has any shares in locked-in?	N.A. No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	N.A. No
5.	The tabular format for disclosure of holding of specified securities is as follows:	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Depository Receipts	Total nos. shares held (V)+(VI)+(VII)+(VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of dematerialized equity shares held in form
								Class:Equity	Class:Equity	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)	
(A)	Promoter & Promoter Group	5	64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	0	20000
(B)	Public	165	841328	0	0	841328	92.93	841328	0	841328	92.93	0	0	0	0	0	0
(C)	Non Promoter-Non Public			0	0		0.00	0	0	0	0.00	0	0	0	0	0	0
(C1)	Shares underlying DRS	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	Total	170	905328	0	0	905328	100.00	905328	0	905328	100.00	0	0	0	0	0	20000



Category & Name of the Shareholders	PAN	No. of sharehold er	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								Class: Equity	Class Y	Total			No. (a)	As a % of total Shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(X)+(X)	(XII)	(XIII)	(XIV)	
1	Indian															
(a) Individual/Hindu Undivided Family			40000	0	0	40000	4.42	40000	0	40000	4.42	0	0.00	0	0.00	20000
SHARDA DEVI/GITGITIA			15000	0	0	15000	1.66	15000	0	15000	1.66	0	0.00	0	0.00	0
RAJ KUMAR KEDIA	AAOPK7634S		15000	0	0	15000	1.66	15000	0	15000	1.66	0	0.00	0	0.00	0
ANIL KUMAR	AFNPK3847E		5000	0	0	5000	0.55	5000	0	5000	0.55	0	0.00	0	0.00	15000
ANIL KUMAR	CEHPD7417D		5000	0	0	5000	0.55	5000	0	5000	0.55	0	0.00	0	0.00	5000
(b) Central Government/State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions/Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(d) Any Other (Specify) - Body Corporate			24000	0	0	24000	2.65	24000	0	24000	2.65	0	0.00	0	0.00	0
CARE TRADERS PVT. LTD	AAACCL182E		24000	0	0	24000	2.65	24000	0	24000	2.65	0	0.00	0	0.00	0
Sub Total (AM1)			64000	0	0	64000	7.07	64000	0	64000	7.07	0	0.00	0	0.00	0
2	Foreign															
(a) Individual/Non Resident Individual/Foreign Individual			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	20000
(b) Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c) Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(e) Any Other (Specify)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
Sub Total (AM2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A) = (AM1)+(AM2)			64000	0	0	64000	7.07	64000	0	64000	7.07	0	0.00	0	0.00	20000

Note: 1. Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: N.A.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting rights	No. of Shares (including Outstanding Warrants) convertible	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of dematerialized equity shares held in form
								Class X	Class Y	Total					No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
1 Institutions																	
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d) Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(f) Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h) Provident Fund/Pensions Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub Total (BK1)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2 Central Government/State Government/President of India	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub Total (BK2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3 Non-Institutions	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(a) 1. Individual Shareholders holding Nominal Share Capital up to Rs. 2 Lacs	N.A.	154	641000	0	0	641000	70.80	641000	0	641000	70.80	0	70.80	0	0.00	0	
AMAR SINGH			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
NIRMAL GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
PHOOLMATI GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
RADHE SHYAM AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
SANDHYA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
SUSHILA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	2.21	0	0.00	0	
MADHU JAINGHUF			19500	0	0	19500	2.15	19500	0	19500	2.15	0	2.15	0	0.00	0	
PREM PRAKASH			19500	0	0	19500	2.15	19500	0	19500	2.15	0	2.15	0	0.00	0	
SCBHASH CHANDER SABHARWAL			19500	0	0	19500	2.15	19500	0	19500	2.15	0	2.15	0	0.00	0	



UMESH KUMAR	19500	0	0	19500	2.15	19500	0	19500	2.15	0	2.15	0	0.00	0	0	0	0
SABHARWAL	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
AJIT KUMAR	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
BALBIR SINGH	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
JAI CHAND	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
RAI CHAND	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
SUBHASH CHAND	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
SURESH KUMAR	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
SURESH KUMAR	13900	0	0	13900	1.54	13900	0	13900	1.54	0	1.54	0	0.00	0	0	0	0
NETUN JAIN	10500	0	0	10500	1.16	10500	0	10500	1.16	0	1.16	0	0.00	0	0	0	0
SAPNA RUSTOGI	10500	0	0	10500	1.16	10500	0	10500	1.16	0	1.16	0	0.00	0	0	0	0
SUNIL KUMAR	10500	0	0	10500	1.16	10500	0	10500	1.16	0	1.16	0	0.00	0	0	0	0
AGARWAL(HEJ)	10500	0	0	10500	1.16	10500	0	10500	1.16	0	1.16	0	0.00	0	0	0	0
ASHA DEVI KHATRI	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
ANEEL AGARWAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
JANAK AGARWAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
JAI KUMAR NAGPAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
JYOTI KHATRI	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
KANTA DEVI KHATRI	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
KAMLA DEVI KHATRI	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
NEERU AGARWAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
OM PRAKASH	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
AGARWAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
SUNIL AGARWAL	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
TARA DEVI KHATRI	10000	0	0	10000	1.10	10000	0	10000	1.10	0	1.10	0	0.00	0	0	0	0
RAM GOPAL AGARWAL	9300	0	0	9300	1.03	9300	0	9300	1.03	0	1.03	0	0.00	0	0	0	0
2. Individual Shareholders holding Nominal Share Capital Above Rs. 2 Lacs	2	42000	0	42000	4.64	42000	0	42000	4.64	0	4.64	0	0.00	0	0	0	0
KEVAL KRISHNA	21000	0	0	21000	2.32	21000	0	21000	2.32	0	2.32	0	0.00	0	0	0	0
KAKKER	21000	0	0	21000	2.32	21000	0	21000	2.32	0	2.32	0	0.00	0	0	0	0
SARITA KAKKAR	21000	0	0	21000	2.32	21000	0	21000	2.32	0	2.32	0	0.00	0	0	0	0
(b) NBFC's Registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0
(c) Employee Trusts	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories (Holding DRs) (Balancing Figure)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any other - (Specify) Body Corporate	N.A.	9	158328	0	158328	17.49	0	158328	17.49	0	17.49	0	0.00	0	0	0	0
Amsh Merchandise Pvt Ltd	16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
Duke Business Pvt Ltd	16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
Casper Enterprises Pvt Ltd	16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0



	Josh Trading Pvt. Ltd		16666	0	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Vinji Mercantile Pvt. Ltd		16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
	Olive Overseas Pvt. Ltd		16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
	Sunmukh Commercial Pvt. Ltd.		16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
	Nakshatra Business Pvt. Ltd		16666	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0	0
	Atharv Business Pvt. Ltd		25000	0	0	25000	2.76	25000	0	25000	2.76	0	2.76	0	0.00	0	0	0	0
	Sub Total (B)(3)	N.A.	165	0	0	841328	92.93074	841328	0	841328	92.93	0	92.93	0	0	0	0	0	0
	Total Public Shareholding (B) = (B)(1) + (B)(2) + B (3)	N.A.	165	0	0	841328	92.93074	841328	0	841328	92.93	0	92.93	0	0	0	0	0	0

- Note:
1. Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) : N.A.
 2. Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. : N.A.
 3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held (V)+(VI)+(VII)+(VIII)	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)
								Class X	Class Y	Total				No.	As a % of total shares held	No.	As a % of total shares held (Not applicable)	
1								(IX)					(XII)		(XIII)			
(a) Custodian/DR Holder (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2								(IX)					(XII)		(XIII)			
(a) Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2011)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non Promoter Non Public Shareholding (C) = (C1)+(C2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

