

Format of holding of specified securities

1.	Name of Listed Entity:	ASSOCIATED FINMAN LIMITED
2.	Scrp Code/Name of Scrip/Class of Security	ASSOFIN; Equity Share
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending	31-Mar-17
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
	Particulars	Yes*
	1. Whether the Listed Entity has issued any partly paid up shares?	N.A.
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	No
	4. Whether the Listed Entity has any shares in locked-in?	No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	No
5.	The tabular format for disclosure of holding of specified securities is as follows:	

[Handwritten Signature]

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)-(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	No. of Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Class: Equity	Class: Equity	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)-(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	5	64000	0	0	64000	7.07	0	0	64000	7.07	0	0	0	0	0	0	20000
(B)	Public	165	841328	0	0	841328	92.93	0	0	841328	92.93	0	0	0	0	0	0	0
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Total	170	905328	0	0	905328	100.00	0	0	905328	100.00	0	0	0	0	0	0	20000

06/05

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	(IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights					No. (a)	As a % of Total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class	Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
1	Indian																
(a)	Individual/Hindu Undivided Family		40000	0	0	40000	4.42	40000	0	40000	4.42	0	0	0	0	0	20000
	SHARDA DEVI/GUTTIA		15000	0	0	15000	1.66	15000	0	15000	1.66	0	0	0	0	0	0
	RAJ KUMAR KEDIA		15000	0	0	15000	1.66	15000	0	15000	1.66	0	0	0	0	0	0
	ANIL KUMAR		5000	0	0	5000	0.55	5000	0	5000	0.55	0	0	0	0	0	15000
	ANJU KUMARI		5000	0	0	5000	0.55	5000	0	5000	0.55	0	0	0	0	0	5000
(b)	Central Government/State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(c)	Financial Institutions/Banks		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(d)	Any Other (Specity) - Body Corporate		24000	0	0	24000	2.65	24000	0	24000	2.65	0	0	0	0	0	0
	CARE TRADERS PVT. LTD.		24000	0	0	24000	2.65	24000	0	24000	2.65	0	0	0	0	0	0
	Sub Total (AM1)		64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	0	20000
	Foreign		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(a)	Individual/Non Resident Individual/Forcing Individual		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(b)	Government		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(c)	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(d)	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
(e)	Any Other (Specity)		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
	Sub Total (AM2)		0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	N.A.																
	Total Shareholding of Promoter and Promoter Group (A)=(AM1)+(AM2)		64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	0	20000

Note:

1. Details of Shares which remain unclaimed may be given bear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc., N.A.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Total shareholdin g. as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Shares Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerializ ed form
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class: Equity	Class V								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
1																	
Institutions																	
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Fund/Pensions Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Central Government/State Government/President of India																	
Sub Total (B)(2) Non-Institutions	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) I. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lacs	N.A.	154	641000	0	0	641000	70.80	641000	0	641000	70.80	0	0	0	0	0	0
AMAR SINGH			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
NIRMAL GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
PHOOLWATI GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
RADHE SHYAM AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
SANDHYA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
SUSHILA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
MADHU JAIN(HUF)			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0
PREM PRAKASH			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0
SUBHASH CHANDER SABHARWAL			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0

						16666	0	0	0	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Josh Trading Pvt. Ltd.		16666	0	0	16666	1.84	16666	1.84	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Viraj Mercantile Pvt. Ltd.		16666	0	0	16666	1.84	16666	1.84	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Olive Overseas Pvt. Ltd.		16666	0	0	16666	1.84	16666	1.84	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Sumukh Commercial Pvt. Ltd.		16666	0	0	16666	1.84	16666	1.84	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Nakshatra Business Pvt. Ltd.		16666	0	0	16666	1.84	16666	1.84	16666	1.84	16666	0	16666	1.84	0	1.84	0	0.00	0	0	0
	Atharv Business Pvt. Ltd.		25000	0	0	25000	2.76	25000	2.76	25000	2.76	25000	0	25000	2.76	0	2.76	0	0.00	0	0	0
	Sub Total (B)(3)	165	841328	0	0	841328	92.93074	841328	92.93	841328	92.93	841328	0	841328	92.93	0	92.93	0	0	0	0	0
	Total Public Shareholding (B) = (B)(1)+(B)(2) + B (3)	165	841328	0	0	841328	92.93074	841328	92.93	841328	92.93	841328	0	841328	92.93	0	92.93	0	0	0	0	0

Note:

1. Details of the shareholders acting as persons in Concert including their Shareholding (No. and %); N.A.
2. Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.; N.A.
3. Name of the Person has been disclosed in the respective categories in case the shareholder hold 1% or more of total number of shares of the Company

(Signature)

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculate as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form (Not Applicable)
								No. of Voting Rights				As a % of total Shares held	As a % of total Shares held (Not applicable)		
								Class X	Class Y						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)+(IV)+(V)+(VI)	(VIII)	(IX)	(X)	(XI)-(VII)+(X)	(XII)	(XIII)	(XIV)		
1 Custodian/DR Holder	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Name of DR Holder (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Name (if Available)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non Promoter Non Public Shareholding (C)-(C1)+(C2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Handwritten signature