

Format of holding of specified securities

1.	Name of Listed Entity:	ASSOCIATED FINMAN LIMITED
2.	Scrip Code/Name of Scrip/Class of Security	ASSOFIN; Equity Share
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending	30-Sep-16
	b. If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
	Particulars	Yes* No*
	1. Whether the Listed Entity has issued any partly paid up shares? .	N.A. No
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	N.A. No
	3. Whether the Listed Entity has any shares against which depository receipts are issued?	N.A. No
	4. Whether the Listed Entity has any shares in locked-in?	N.A. No
	5. Whether any shares held by promoters are pledge or otherwise encumbered?	N.A. No
5.	The tabular format for disclosure of holding of specified securities is as follows:	



Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights of securities			Total as a % of (A+B+C)	No. of Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No. of Voting Rights		No. (a)				As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class: Equity	Class: Preference								(X)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)+(XII)+(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	
(A)	Promoter & Promoter Group	5	64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	0	0	20000
(B)	Public	165	841328	0	0	841328	92.93	841328	0	841328	92.93	0	0	0	0	0	0	0
(C)	Non Promoter-Non Public						0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Total	170	905328	0	0	905328	100.00	905328	0	905328	100.00	0	0	0	0	0	0	20000



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of sharehold in	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights			Total as a % of Total Voting rights	(X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								Class: Equity		As a % of total shares held (b)				As a % of total shares held (b)	As a % of total shares held (b)		
								V	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)+(XII)+(XIII)	(XIV)	(XV)			
1																	
(a) Indian																	
Individual/Hindu Undivided Family																	
SHARDA DEVI GULGUTIA			40000	0	0	40000	4.42	40000	0	40000	4.42	0	0	0	0	20000	
RAJ KUMAR KEDIA			15000	0	0	15000	1.66	15000	0	15000	1.66	0	0	0	0	0	
ANIL KUMAR			5000	0	0	5000	0.55	5000	0	5000	0.55	0	0	0	0	15000	
ANJLI KUMARI			5000	0	0	5000	0.55	5000	0	5000	0.55	0	0	0	0	5000	
(b) Central Government/State Government(s)																	
(c) Financial Institutions/Banks																	
(d) Any Other (Specify) - Body Corporate																	
CARE TRADERS PVT. LTD			24000	0	0	24000	2.65	24000	0	24000	2.65	0	0	0	0	0	
Sub Total (A)(I)			64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	20000	
2																	
(a) Individual/Non Resident Individual/Foreign Individual																	
(b) Government																	
(c) Institutions																	
(d) Foreign Portfolio Investor																	
(e) Any Other (Specify)																	
Sub Total (A)(II)			0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A) = (A)(I)+(A)(II)			64000	0	0	64000	7.07	64000	0	64000	7.07	0	0	0	0	20000	

Note:

1. Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, wrong rights which are frozen etc. N/A



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VI)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholdings as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights (Class: Equity Class V)	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
																	(IX)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)	(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)				
1	Institutions																
(a) Mutual Fund	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g) Insurance Companies	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Fund/Pension Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other Specify	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Central Government/State Government/President of India																
Sub Total (B)(2)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non Institutions																
(a) 1. Individual Shareholders holding Non-voting Share Capital Up to Rs. 2 Lacs	N.A.	154	641000	0	0	641000	70.80	641000	0	641000	70.80	0	0	0	0	0	0
AMAR SINGH			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
NIRMAL GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
PHOENIX GOEL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
RAJESH SHYAM AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
SANDHYA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
SUSHILA AGARWAL			20000	0	0	20000	2.21	20000	0	20000	2.21	0	0	0	0	0	0
MADHU JAIN(GUFT)			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0
PRAM PRAKASH			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0
SUBHASH CHANDER-SABHARWAL			19500	0	0	19500	2.15	19500	0	19500	2.15	0	0	0	0	0	0



Table IV - Statement showing shareholding pattern of the Non Promoter, Non Public shareholder

Category & Name of the Shareholder	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Shareholding Total no. % calculated as per SCRR, 1957 (A+B+C)	No. of Voting Rights			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)	
							Class X	Class Y	Total				No.	As a % of total Shares held	No.	As a % of total share s held (Not applicable)		
																		(X)
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)	(M)	(N)	(O)	(P)	(Q)	(R)	
1. Continual DP Holder																		
(a) Name of DP Holder (if Available)	N.A.																	
2. Employee Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)																		
(a) Name (if Available)	N.A.																	
Total Non Promoter Non Public Shareholding (C)-(D)-(E)-(F)																		

